



07-31-01 Sector 31

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of )  
NI *et al.* ) Attention:  
Application Number 09/821,753 ) OIPE  
Filed March 30, 2001 )  
For: PLASMA PROCESSING METHOD AND )  
APPARATUS WITH CONTROL OF )  
PLASMA EXCITATION POWER )

Honorable Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

This is in response to the Notice of Missing Parts dated May 18, 2001, of the Initial Patent Examination Division of the Patent and Trademark Office, the period for response to which is set to expire on July 18, 2001. Submitted herewith is the executed declaration for the above-captioned application.

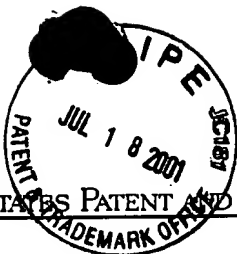
The fee of \$876.00, which includes the late declaration fee, additional claim fee and basic statutory filing fee is enclosed by way of credit card authorization. Please charge any deficiencies in the enclosed fees to Deposit Account Number 07-1337 and direct any inquiries in connection with this application directly to the undersigned.

Respectfully submitted,

Allan M. Lowe  
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July 17, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,753	03/30/2001	Tuqiang Ni	2328-053

RECEIVED

CONFIRMATION NO. 5171

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MAY 21 2001

FORMALITIES LETTER



\*OC000000006092996\*

Lowe, Hauptman, Gilman &amp; Berner

Date Mailed: 05/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/19/2001 SMINASS1 00000072 09821753

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	36.00 OP
03 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$36.
  - \$36 for 2 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 876.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE